## UNITED STATES DEPARTMENT OF COMMERCE **Patent and Trademark Office**

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SERIAL NUMBER REQUEST DATE			FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.	
10/621,865		6/23/04	BECKEN, ET AL.	THI-304	
Title:	SNAP-	IN ROLLER			
0	A dal			Art Unit	Paper Number

Correspondence Address:

MARC A. VIVENZIO LORUSSO LOUD & KELLY LLP. 15 RYE STREET, SUITE 312 PORTSMOUTH, NH 03801

PATENT & TRADEMARK OFFICE MAILED

MAY 2 0 2005

LICENSING & REVIEW

Licensee under 35 U.S.C. 184 is hereby granted to file in any foreign country a patent application and any amendments thereto corresponding to the subject matter of this U.S. application identified above and/or any material accompanying the petition. This license is conditioned upon modification of any applicable secrecy order and is subject to revocation without notice.

License Number:

534,596

**Grant Date:** 

20-May-Q5

This license is granted retroactively to the date(s) and the country(s) indicated

on the attached decision.

Approved:

for Commissioner of Patents and Trademar

This license empowers the filing, the causation and the authorization of the filing of a foreign application or applications on the subject matter identified above, subsequent forwarding of all duplicate and formal papers and the prosecution of such application or applications.

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## LICENSE FOR FOREIGN FILING

[Title 35, United States Code (1952) Sections 184, 185, 186]



# United States Patent and Trademark Office

Under Secretary of Commerce for Intellectual Property and DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, DC 20231 www.uspto.gov

Marc A. Vivenzio Lorusso Loud & Kelly LLP 15 Rye Street, Suite 312 Portsmouth, NH 03801

In re:

Becken

**DECISION ON REQUEST** 

**UNDER 37 CFR 5.25** 

Serial No.:

10/621,865

Filing date:

July 17, 2003

Docket No:

THI-304

Title: SNAP-IN ROLLER

This is a decision on the petition filed on May 24, 2004 for retroactive foreign filing license.

# 37 CFR 5.25(a) requires the following:

- A listing of each of the foreign countries in which the unlicensed patent application material was filed,
- 2. The dates on which the material was filed in each country,
- 3. A verified statement (oath or declaration) containing:
  - i. An averment that the subject matter in question was not under a secrecy order at the time it was filed abroad, and that it is not currently under a secrecy order,
- ii. A showing that the license has been diligently sought after discovery of the proscribed foreign filing, and
- iii. An explanation of why the material was filed abroad through error and without deceptive intent without the required license under § 5.11 first having been obtained, and
- 4. The required fee (§ 1.17(h)).

The petition is denied since the requirements of 37 CFR 5.25 (3)(ii) have been met. Specifically, the petition does not set forth any facts about discovery of the proscribed filing. Without a specific time frame as to discovery of the proscribed filing, a showing of diligence or lack thereof cannot be ascertained.

Accordingly, the provisions of 37 CFR 5.25 not having been fully met, the petition is denied, and in the absence of any response within 60 days of the mailing date of this letter, such denial will be made final and the final action under 35 U.S.C. 185 will be taken. Extensions of time may be had under 37 C.F.R. 1.136(a).

**Patent Examiner** 

(703) 306-4161

(Rel.95-7/03 Pab.605)

FORM 22-5

Practitioner's Docket No.

THI-304

PATENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### 1. Petition

ndria, VA 22313-1450

PETITION FOR RETROACTIVE LICENSE.

OTE: See MP.E.P. § 140, 6th ed., rev. 3.

Petition

It is respectfully requested that this petition for license for foreign filing attached hereto be granted retroactively under the provisions of 37 CFR 5.25.

( applicable not applicable) be granted retroactively under the provisions of 37 CFR 5.25.

2. Previous Licenses ( applicable

- ☐ the filing receipt license

issued on this invention before the export.

3. Material filed abroad without a license

Attached is a copy of the material that was filed abroad without a license for foreign filing.

4. Identification of inventor(s), title of invention and, if applicable, details of corresponding U.S. application

inventor(s) name(s): BECKEN, Donald A.

Title of Invention:

SNAP-IN ROLLER

(complete the following, if applicable)

in re application of: BECKEN

Group No.: 3676

06/03/2004 DJOHES1 000/Application/No.:0621105/621,865

Examiner's Name:

01 FC:1460

130\_0(Fling Date: 07/17/2003

sign committee and dates of taing of waterist for Apich lettoactive license requested (37 CFR 5.25(a)(1))

With respect to the material for which a retroactive license is requested, each foreign countries in which the patent application material was filed and the date it was filed is as follows:

Foreign Country

Date

CANADA (Appl. No. 2,435,502)

07/17/2003

# 6. Verified Statement(s)

Also attached hereto are the verified statement(s) of:

Marc A. Vivenzio

which confirm(s) that, in accordance with 37 CFR 5.25(a)(3)(i)-(iii),

- (a) the subject matter in question was not under a secrecy order at the time it was filled abroad, and that it is not currently under a secrecy order;
- (b) the ficense is being diligently sought after discovery of the proscribed foreign filling; and
- an explanation of why the material was filed abroad through error and without deceptive intent without the required license under § 5.11 first having been obtained.

NOTE: See 37 CFR 5.25(a)(4).

### 7. Fee (37 CFR 1.17(h)—\$130.00)

in the manner authorized above.

•					
The fee	for	this petition for retroactive license is paid by			
	Att	ached is a			
<b>8</b> 3	Authorization is hereby made to charge the amount of \$130.00_				
	X	to Deposit Account No. 12-2147			
		to Credit card as shown on the attached credit card information authorization form PTO-2038.			
WARNING	: Q	redit cord information should not be included on this form as it may become public.			
1281	Chi	arge any additional fees required by this paper or credit any overpaymen			

Reg. No.: 52,326

Tel. No.: ( 603 ) 427-0070

Customer No.:

Marc A. Vivenzio

(type or print name of practitioner)

Lorusso Loud & Kelly LLP

45 Rye Street, Suite 312 Portsmouth, NH 03801

(Petition For Retroactive License (37 CFR 5.25) [22-5]—page 2 of 2)